

Weak irregular principles

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28 February, 2012

Background

Applied computability theory looks at computable instances of various problems and studies the complexity of their solutions. Usual questions:

- 1 Is there always a computable solution?
- 2 Is there always a solution computable in \emptyset' ?

Reverse mathematics seeks to calibrate the strength of (miniaturizations of) theorems according to the minimal sets of axioms needed to prove them. In practice, we use subsystems of second-order arithmetic:

- 1 first, we find a subsystem strong enough to prove the theorem;
- 2 then, we obtain sharpness, by showing that the theorem implies (reverses to) this subsystem, over some weak base theory.

There is a fruitful interaction between these two endeavors.

Background

RCA₀: basic axioms of arithmetic, together with induction for Σ_1^0 formulas, and comprehension for sets definable by both a Σ_1^0 and Π_1^0 formula.

WKL₀: RCA₀ plus every infinite binary tree has an infinite path.

ACA₀: RCA₀ plus comprehension for arithmetically-definable sets.

ATR₀: RCA₀ plus any arithmetically-defined functional $F : 2^{\mathbb{N}} \rightarrow 2^{\mathbb{N}}$ may be iterated along any countable well-ordering, starting with any set.

Π_1^1 -CA₀: RCA₀ plus comprehension for Π_1^1 -definable sets.

Background

Remarkably, most theorems fall into one of the “big five”.

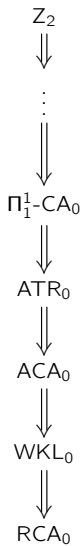
Provable in RCA_0 : Baire category theorem, intermediate value theorem, Urysohn’s lemma, Tietze extension theorem, soundness theorem.

Equivalent to WKL_0 : every countable commutative ring has a prime ideal, Gödel’s compactness theorem, separable Hahn/Banach theorem, Heine/Borel theorem.

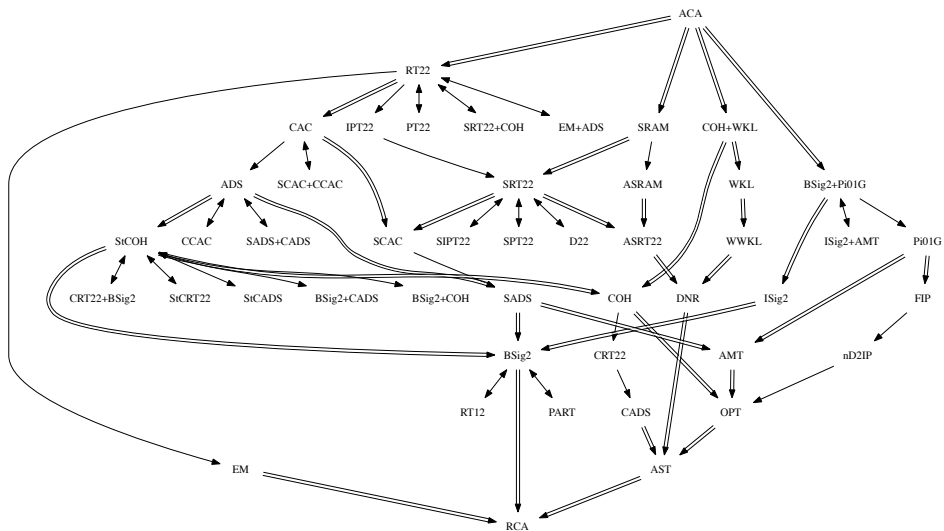
Equivalent to ACA_0 : every countable commutative ring has a maximal ideal, every bounded sequence of real numbers has a least upper bound, Ascoli lemma, Bolzano/Weierstrass theorem, the range of every function exists, the Turing jump of every set exists.

⋮

Background



Background



Ramsey's theorem

Jockusch. Fix $n, k \geq 2$.

- 1 Every computable $f : [\omega]^n \rightarrow k$ has a Π_n^0 infinite homogeneous set, but not necessarily a Δ_n^0 (or Σ_n^0) one.
- 2 Every computable $f : [\omega]^n \rightarrow k$ has an infinite homogeneous set H with $H' \leq_T \emptyset^{(n)}$.
- 3 There exists a computable $f : [\omega]^n \rightarrow 2$ every infinite homogeneous set of which computes $\emptyset^{(n-2)}$.

Corollary. Over RCA_0 , WKL_0 does not imply RT_2^2 .

Corollary. For $n \geq 3$, RT_2^n is equivalent to ACA_0 over RCA_0 .

Ramsey's theorem for pairs

Seetapun. For any non-computable set C , every computable $f : [\omega]^2 \rightarrow 2$ has an infinite homogeneous set H that does not compute C .

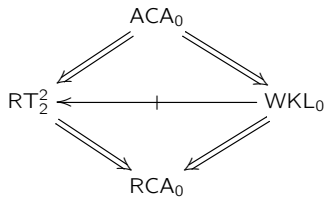
Cholak, Jockusch, and Slaman. Every computable $f : [\omega]^2 \rightarrow 2$ has a low_2 infinite homogeneous set H , i.e., $H'' \leq_T \emptyset''$.

Dzhafarov and Jockusch. Every computable $f : [\omega]^2 \rightarrow 2$ has a pair of infinite homogeneous sets H whose degrees form a minimal pair.

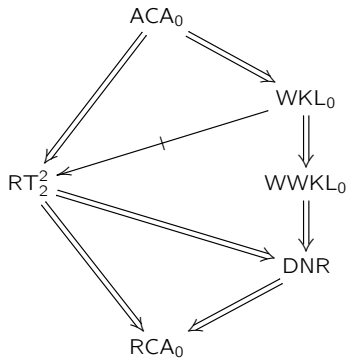
All proofs use some flavor of Mathias forcing.

Corollary. Over RCA_0 , RT_2^2 does not imply ACA_0 .

Ramsey's theorem for pairs



Ramsey's theorem for pairs



Ramsey's theorem for pairs

Liu. Every computable coloring $f : [\omega]^2 \rightarrow 2$ has an infinite homogeneous set not of PA degree.

Corollary. Over RCA_0 , RT_2^2 does not imply WKL_0 .

Dzhafarov and Shore. Every computable coloring $f : [\omega]^2 \rightarrow 2$ has a low_3 infinite homogeneous set H not of PA degree.

Question. Does every computable coloring $f : [\omega]^2 \rightarrow 2$ have an infinite low_2 homogeneous set not of PA degree?

Stability and cohesiveness

A coloring $f : [\omega]^2 \rightarrow 2$ is **stable** if for every x , $\lim_s f(\{x, s\})$ exists.

SRT₂²: restriction of RT_2^2 to stable colorings.

COH: for every family of sets $\langle A_0, A_1, \dots \rangle$ there is a set X such that for all i , either $X \subseteq^* A_i$ or $X \subseteq^* \overline{A}_i$.

If A_0, A_1, \dots contain all computable sets, X is **r-cohesive**; if A_0, A_1, \dots contain all c.e. sets, X is **cohesive**.

Cholak, Jockusch, and Slaman; Miletic, Jockusch and Lempp. Over RCA_0 , RT_2^2 is equivalent to $SRT_2^2 + COH$.

Stability and cohesiveness

By the limit lemma, the computable content of SRT_2^2 is the same as that of the infinite subsets and co-subsets of Δ_2^0 sets. In particular, every computable stable coloring has a Δ_2^0 infinite homogeneous set.

Downey, Hirschfeldt, Lempp, and Solomon. There exists a computable stable coloring $f : [\omega]^2 \rightarrow 2$ with no low infinite homogeneous set.

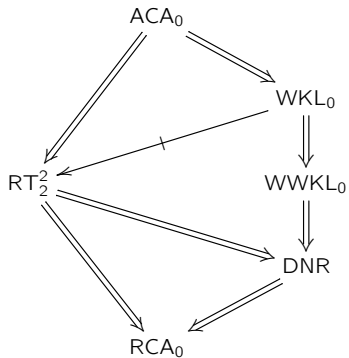
Corollary. Over RCA_0 , WKL_0 does not imply SRT_2^2 .

Hirschfeldt, Jockusch, Kjos-Hanssen, Lempp and Slaman. Over RCA_0 , SRT_2^2 implies DNR , but COH does not.

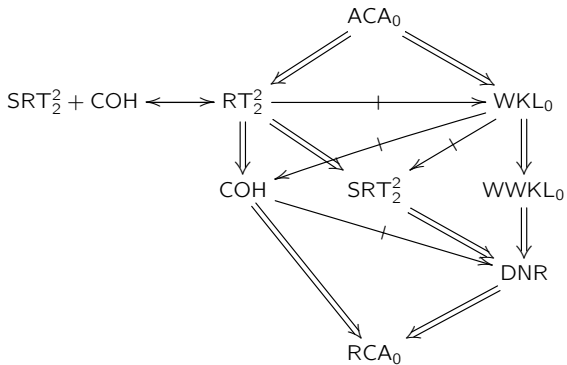
Chong, Slaman, Yang. Over RCA_0 , SRT_2^2 does not imply RT_2^2 .

The proof uses a highly customized, non-standard model of RCA_0 .

Stability and cohesiveness



Stability and cohesiveness



Stability and cohesiveness

Question. Does SRT_2^2 imply COH in ω -models?

Another way to phrase the question:

Definition (Mileti). A degree \mathbf{d} is **s-Ramsey** if every Δ_2^0 set has an infinite subset or cosubset in \mathbf{d} .

Question. Is every s-Ramsey degree a cohesive degree?

Mileti. The only Δ_2^0 degree is $\mathbf{0}'$. There is no low₂ s-Ramsey degree.

Measure-theoretic approach

Question. Does SRT_2^2 imply COH typically?

Definition.

- 1 A **martingale** is a function $M : 2^{<\omega} \rightarrow \mathbb{Q}^{\geq 0}$ such that for every $\sigma \in 2^{<\omega}$, $2M(\sigma) = M(\sigma 0) + M(\sigma 1)$.
- 2 M **succeeds on a set** X if $\limsup_n M(X \upharpoonright n) = \infty$. M **succeeds on a class of sets** \mathcal{C} if it succeeds on every $X \in \mathcal{C}$.

Ville. A class of sets \mathcal{C} has Lebesgue measure 0 if and only if there is a martingale that succeeds on it.

Measure-theoretic approach

Definition. A class \mathcal{C} of Δ_2^0 sets is Δ_2^0 null if there is a \emptyset' -computable martingale M that succeeds on it.

Reasonable notion of nullity: additive, Δ_2^0 is not Δ_2^0 null, etc.

Hirschfeldt and Terwijn. The class of low sets is not Δ_2^0 null.

Definition (Dzhafarov). A degree \mathbf{d} is almost s -Ramsey if the class of Δ_2^0 set having an infinite subset or cosubset in \mathbf{d} is not Δ_2^0 null.

ASRT₂²: non- Δ_2^0 -null many Δ_2^0 sets have an infinite subset or cosubset.

Measure-theoretic approach

Dzhafarov.

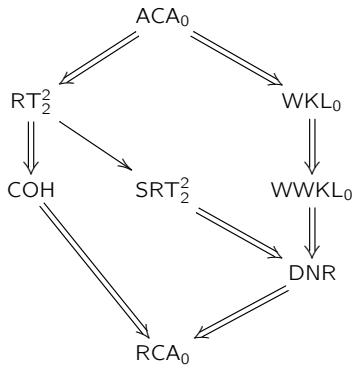
- 1 The only Δ_2^0 almost s -Ramsey degree is $\mathbf{0}'$.
- 2 There exists an almost s -Ramsey degree $\mathbf{d} \leq \mathbf{0}''$ that is not s -Ramsey.
- 3 Over RCA_0 , ASRT_2^2 is incomparable with COH . (And with WKL_0 .)
- 4 Over RCA_0 , ASRT_2^2 is strictly stronger than DNR .

Proof idea for 2. Fix $A \in \Delta_2^0$ with no low infinite subset or coset.

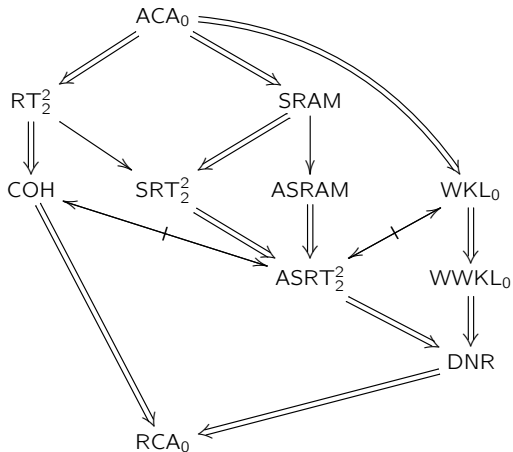
Let M_0, M_1, \dots list all \emptyset' -computable martingales, and for every i , fix L_i on which M_i does not succeed with $\bigoplus_{j \leq i} L_j$ low. Let $D^{[0]} = L_0$.

If Φ_0^D is total, there must exist exist $n \notin A$ and a finite F such that $F^{[0]}$ agrees with $D^{[0]}$ and $\Phi_0^F(n) \downarrow = 1$. Let $D^{[1]}$ equal L_1 above $\varphi_0^F(n)$.

Measure-theoretic approach



Measure-theoretic approach



Variations of RT_2^2

Definition (Erdős and Rado). Fix a coloring $f : [\omega]^n \rightarrow 2$.

- 1 A **p-homogeneous set** for f is a sequence of infinite sets $\langle H_0, \dots, H_{n-1} \rangle$ such that f is constant on all $\{x_0, \dots, x_{n-1}\}$ with $x_i \in H_i$ for each $i < n$.
- 2 If f is merely constant on all such $\{x_0, \dots, x_{n-1}\}$ with $x_0 < \dots < x_{n-1}$, then $\langle H_0, \dots, H_{n-1} \rangle$ is an **increasing p-homogeneous set**.

PT_k^n : every $f : [\omega]^n \rightarrow k$ has a p-homogeneous set.

IPT_k^n : every $f : [\omega]^n \rightarrow k$ has an increasing p-homogeneous set.

We can define stable versions, **SPT_2^2** and **$SIPT_2^2$** , in the obvious way.

Variations of RT_2^2

Combinatorially, PT and RT_2^2 are rather different.

Define $f : [\omega]^2 \rightarrow 2$ by

$$f(x, y) = \begin{cases} 0 & x \equiv y \pmod{2} \\ 1 & \text{else} \end{cases}$$

No infinite set is homogeneous for f with color 1, but $\langle \text{evens, odds} \rangle$ is a p-homogeneous set for f with color 1.

Variations of RT_2^2

It is easy to see that for all n , $RT_2^n \rightarrow PT_2^n \rightarrow IPT_2^n$ over RCA_0 .

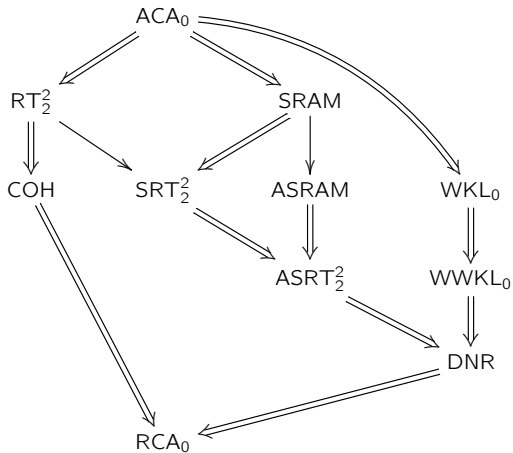
Dzhafarov and Hirst.

- 1 For $n \geq 3$, PT_k^n and IPT_k^n are equivalent to RT_k^n over RCA_0 .
- 2 Over RCA_0 , PT_2^2 is equivalent to RT_2^2 .
- 3 Over $RCA_0 + B\Sigma_2^0$, IPT_2^2 implies SRT_2^2 .
- 4 Over $RCA_0 + B\Sigma_2^0$, SPT_2^2 and $SIPT_2^2$ are equivalent to SRT_2^2 .

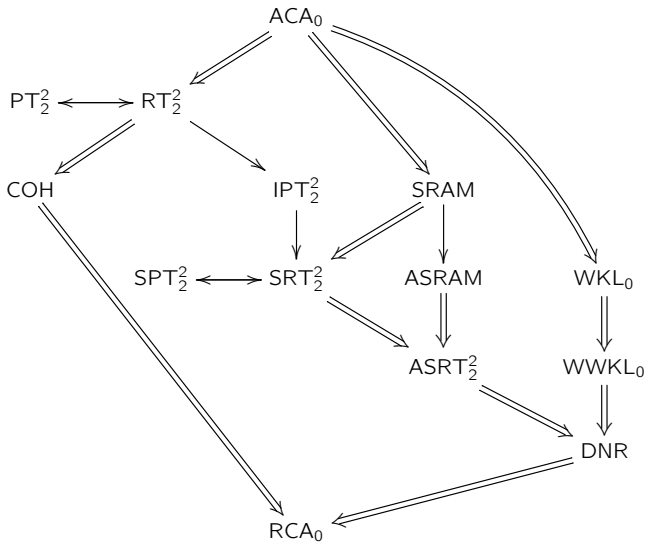
Proof outline of 2. To show: $PT_2^2 \rightarrow RT_2^2$. PT_2^2 implies SPT_2^2 , hence SRT_2^2 over $B\Sigma_2^0$. Thus, it suffices to show that PT_2^2 implies $COH + B\Sigma_2^0$. Show PT_2^2 implies ADS , from which both COH and $B\Sigma_2^0$ follow.

Chong, Lempp, and Yang. Parts 3 and 4 go through in $I\Sigma_1^0$.

Variations of RT_2^2



Variations of RT_2^2



Consequence of Ramsey's theorem

Hirschfeldt and Shore studied various consequences of RT_2^2 :

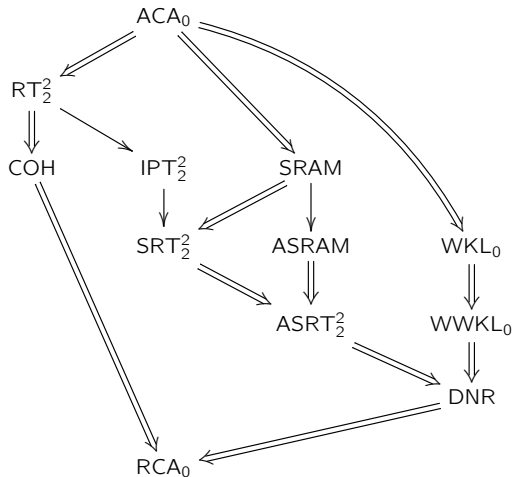
CAC: every partial ordering on ω has an infinite chain or an infinite antichain.

ADS: every linear ordering on ω has an infinite ascending sequence or an infinite descending sequence.

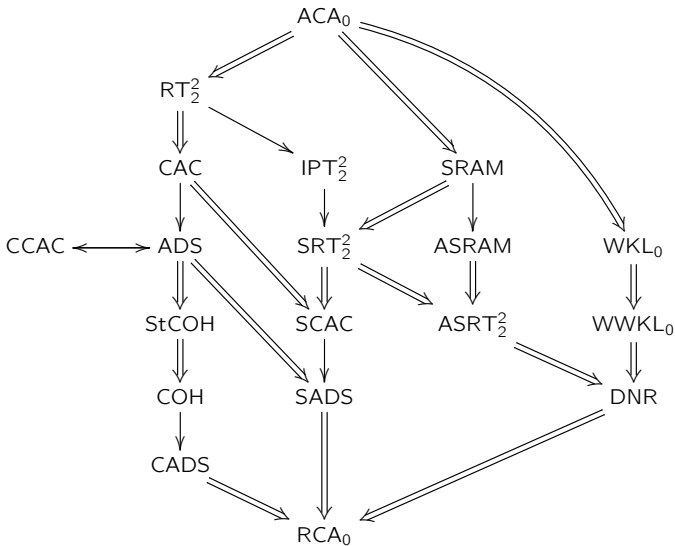
They also considered:

- 1 stable and cohesive versions of these principles: **SCAC**, **SADS**, **CCAC**, **CADS**, with $ADS \leftrightarrow SADS + CADS$ and $CAC \leftrightarrow SCAC + CCAC$.
- 2 a stronger version of COH, **StCOH**, equivalent to it under $B\Sigma_2^0$.

Consequence of Ramsey's theorem



Consequence of Ramsey's theorem



Model-theoretic principles

A (countable, consistent) theory T is **atomic** if every T -consistent formula is provably implied by some atom (complete formula) of T .

A model of T is **atomic** if every type of T realized in it is principal.

Hirschfeldt, Shore, and Slaman studied the following:

AMT: every complete atomic theory has an atomic model.

OPT: for every theory T and every set S of partial types of T , there is a model of T that omits all the non-principal members of S .

$\Pi_1^0 G$: for every uniformly Π_1^0 sequence U_0, U_1, \dots of dense subsets of $2^{<\omega}$, there exists a G that meets each U_i .

Model-theoretic principles

Hirschfeldt, Shore, and Slaman. The following hold in RCA_0 :

- 1 $\Pi_1^0\text{G} \rightarrow \text{AMT}$, strictly.
- 2 $\text{SADS} \rightarrow \text{AMT} \rightarrow \text{OPT}$, strictly.
- 3 $\text{COH} \rightarrow \text{OPT}$, strictly.
- 4 OPT is equivalent to the statement that for every X , there exists a set hyperimmune relative to X .

Some of the weakest principles that are not computably true.

Hirschfeldt, Shore, and Slaman also identified a principle that can claim to be **the** weakest such principle: **AST** is a weak form of OPT equivalent to the statement that for every X , there exists $Y \not\leq_T X$.

Model-theoretic principles

Hirschfeldt, Shore, and Slaman.

- 1 AMT is Π_1^1 conservative over $B\Sigma_2^0$.
- 2 AMT and Π_1^0G are $r\text{-}\Pi_2^1$ conservative over RCA_0 ; i.e., conservative for statements of the form

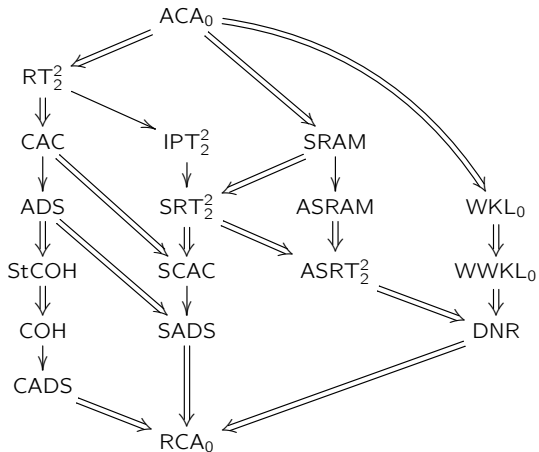
$$(\forall X)[\varphi(X) \rightarrow (\exists Y)\psi(X, Y)],$$

where φ is arithmetical and ψ is Σ_3^0 .

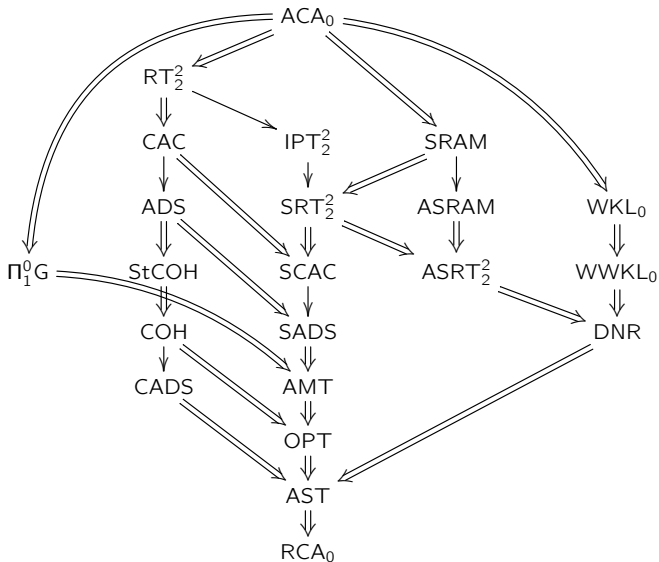
- 3 Over $RCA_0 + B\Sigma_2^0$, $\Pi_1^0G \rightarrow I\Sigma_2^0$. Over $RCA_0 + I\Sigma_2^0$, $AMT \rightarrow \Pi_1^0G$.

$r\text{-}\Pi_2^1$ conservativity was first shown for COH. The same proof is used for all three principles, only the forcing notion differs: Cohen forcing for Π_1^0G and AMT, Mathias forcing for COH.

Model-theoretic principles



Model-theoretic principles



Equivalents of choice

Fix $n \geq 2$. A family A of sets has the

- 1 F intersection property if $\bigcap F \neq \emptyset$ for all finite $F \subseteq A$.
- 2 D_n intersection property if $\bigcap F = \emptyset$ for all $F \subseteq A$ of size n .
- 3 \overline{D}_n intersection property if $\bigcap F \neq \emptyset$ for all $F \subseteq A$ of size n .

FIP : every non-trivial family has a maximal subfamily with the F intersection property.

D_nIP : every non-trivial family has a maximal subfamily with the D_n intersection property.

\overline{D}_nIP : every non-trivial family has a maximal subfamily with the \overline{D}_n intersection property.

Equivalents of choice

Classically, FIP , D_nIP , and \overline{D}_nIP are equivalent to the axiom of choice.

Dzhafarov and Mummert.

- 1 For all n , D_nIP is equivalent to ACA_0 over RCA_0 .
- 2 For all n , $FIP \rightarrow D_{n+1}IP \rightarrow D_nIP$.
- 3 There is an ω -model of FIP consisting entirely of low sets.
- 4 FIP is $r\text{-}\Pi_2^1$ conservative over RCA_0 .

Proof of 3. Force with conditions $(\sigma, s) \in \omega^{<\omega} \times \omega$ such that some number $\leq s$ belongs to $\bigcap_{i < |\sigma|} A_{\sigma(i)}$, and $(\tau, t) \leq (\sigma, s)$ if $\sigma \preceq \tau$. Let $(\sigma_0, s_0) = (\emptyset, 0)$. At even stages, force the jump. Given (σ_i, s_i) for i odd, choose the least $s \geq s_i$ large enough to bound an element of $A_i \cap \bigcap_{j < |\sigma|} A_{\sigma(j)}$ if non-empty, and let $(\sigma_{i+1}, s_{i+1}) = (\sigma_i \hat{\ } i, s)$.

Equivalents of choice

\overline{D}_2 IP is computably false. The obvious computable strategy fails:

Given $A = \langle A_0, A_1, \dots \rangle$ non-trivial, define a subfamily $B = \langle B_0, B_1, \dots \rangle$ as follows. Having defined B_i for each $i < n$, search through A to find the first non-empty A_i not among the B_i but intersecting each of them, and let this be B_n .

B has the \overline{D}_2 intersection property, but it may fail to be maximal. It may be that A_0 intersects every set, but that it intersects A_1, \dots, A_n only after A_{n+1} does. Then B will equal $\langle A_1, A_2, \dots \rangle$.

Can turn this problem into a proof that \overline{D}_2 IP is not provable in RCA_0 .

Equivalents of choice

Dzhafarov and Mummert. There exists a computable non-trivial $A = \langle A_0, A_1, \dots \rangle$ every maximal subfamily of which with the \overline{D}_2 intersection property has hyperimmune degree.

Proof sketch. We build A along with finitely-branching trees $T_0, T_1, \dots \subseteq \omega^{<\omega}$, and for every $J \in \omega^\omega$ a partial J -computable function f_J , so as to meet the following requirements:

- Q : if $J \in \omega^\omega$ defines a maximal subfamily of A with the \overline{D}_2 intersection property then f_J is total;
- \mathcal{R}_e : if $J \in \omega^\omega$ defines a subfamily of A with the \overline{D}_2 intersection property, and if f_J is total and bounded by Φ_e , then $J \in [T_e]$;
- \mathcal{S}_e : no infinite path through T_e defines a maximal subfamily of A with the \overline{D}_2 intersection property.

Equivalents of choice

For each e , define sequence $P_e^0, P_e^1, \dots \in A$ of **e-prevention sets**.

For some e , also define a **missing set** $M_e \in A$.

Goal: if J defines a maximal subfamily and f_J is total and bounded by Φ_e , then M_e intersects every $A_{J(i)}$, yet the P_e^m prevent M_e being in J .

Each P_e^m will be a prevention set **for** some $\sigma \in \omega^{<\omega}$, representing that any maximal subfamily containing all $A_{\sigma(i)}$ should also contain P_e^m .

At stage s :

- 1 we consider each $\sigma \in \omega^{<\omega}$ bounded by s , and define a new P_e^m for it;
- 2 for each P_e^m defined at a previous stage for some σ , look at each $\tau \succ \sigma$ bounded by s that **only intersect M_e if σ does**, and intersect P_e^m with every set enumerated by τ .

Equivalents of choice

Say σ **e-extends** τ if σ enumerates a P_e^m for some $\tau \preceq \rho \prec \sigma$.

For $J \in \omega^\omega$, f_J is defined as follows:

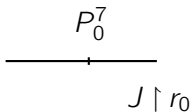
- 1 let $r_{-1} = 0$;
- 2 given r_{i-1} let r_i be least so that $J \upharpoonright r_i$ j -extends $J \upharpoonright r_{i-1}$ for each $j \leq i$;
- 3 let $f(0)$ be the least number that bounds $\bigcap_{j < r_i} A_{J(j)}$.

Equivalents of choice

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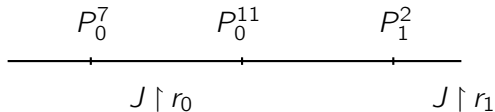


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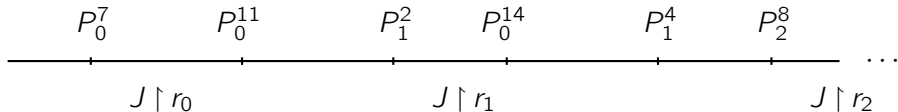


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Equivalents of choice

Definition of T_e via approximations $T_e[0], T_e[1], \dots$:

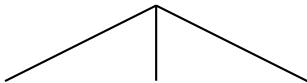
- 1 Let $T_e[0] = \emptyset$.
- 2 Given $T_e[s-1]$, suppose s is least such that $\Phi_{e,s}(i) \downarrow$.
- 3 Let $T_e[s]$ consist of all σ for which there exists a leaf τ of $T_e[s-1]$ such that σ j -extends τ for each $j \leq i$.

T_e is the intersection of the upward closure of the $T_e[s]$.

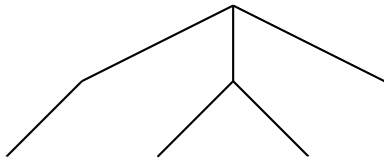
If $i = e$ above, define M_e .

If M_e is defined, and there is an r so that each leaf σ of $T_e[s]$ e -extends $\sigma \upharpoonright r$ with witness P_e^m disjoint from M_e and not enumerated by any string of length r , intersect $A_{\sigma(i)}$ with M_e for all $i < r$.

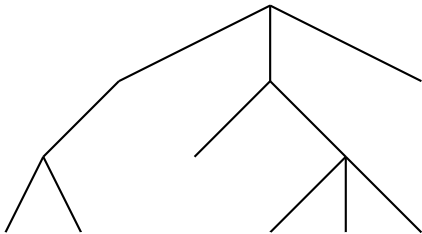
Equivalents of choice



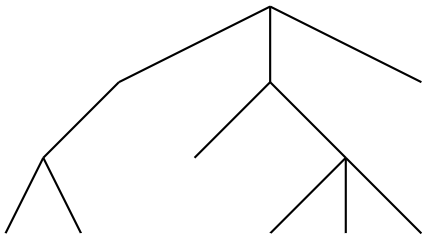
Equivalents of choice



Equivalents of choice

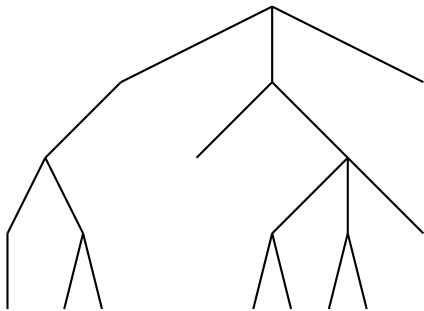


Equivalents of choice

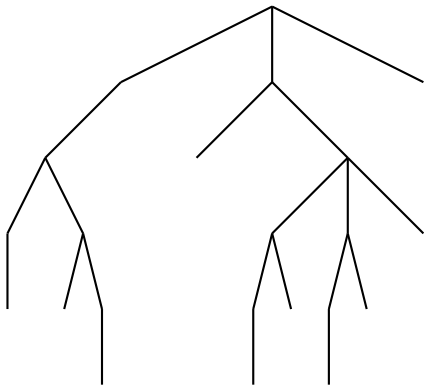


$\Phi_e(e) \downarrow$
define M_e

Equivalents of choice

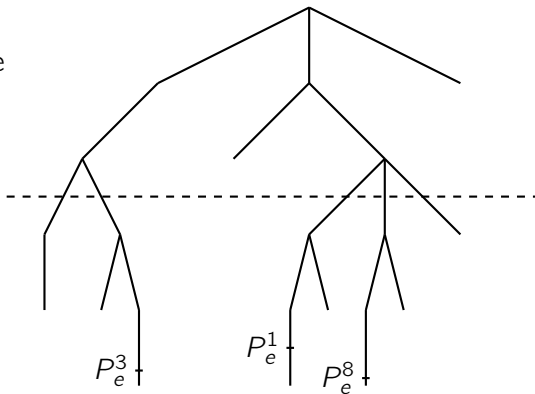


Equivalents of choice



Equivalents of choice

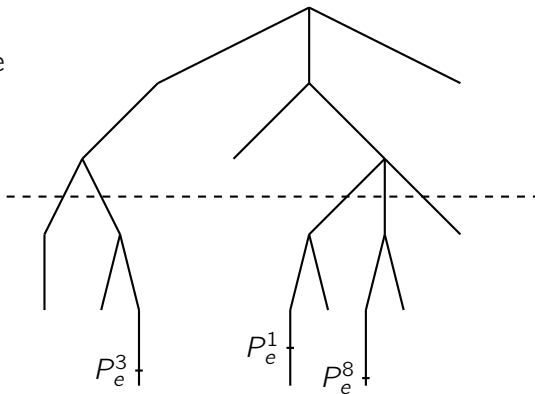
do not have
 P_e^3, P_e^8, P_e^1



Equivalents of choice

do not have
 P_e^3, P_e^8, P_e^1

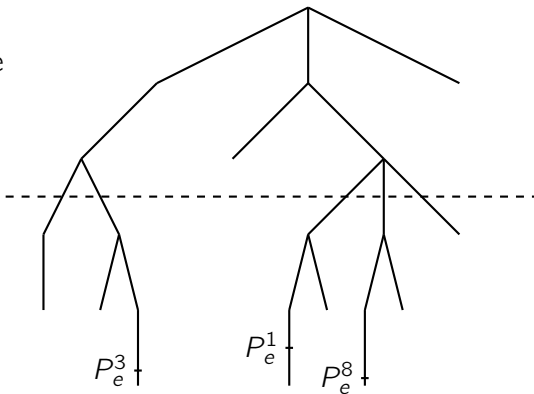
intersect
with M_e



Equivalents of choice

do not have
 P_e^3, P_e^8, P_e^1

intersect
with M_e



Ensures that M_e cannot be on by any path.

Equivalents of choice

Corollary. Over RCA_0 , $\overline{D}_2\text{IP}$ implies OPT .

Dzhafarov and Mummert.

- 1 Over RCA_0 , $\Pi_1^0\text{G}$ implies FIP .
- 2 Every non-zero c.e. set can compute a maximal subfamily with the F intersection property of any computable non-trivial family of sets.

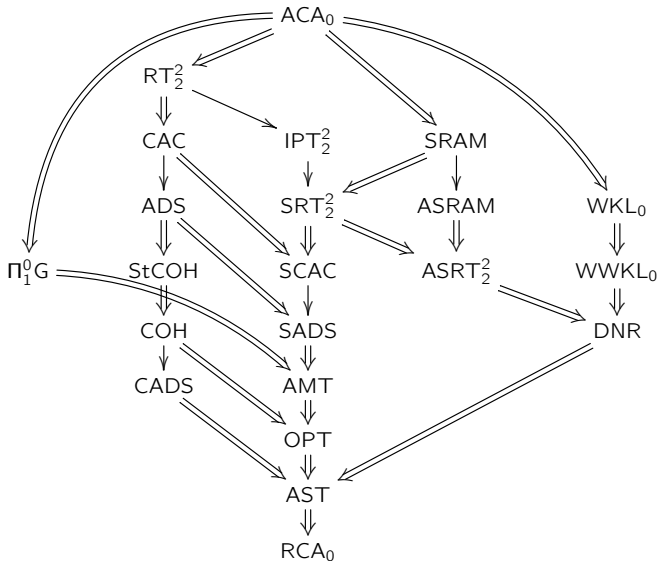
(Most other choice principles live above ATR_0 , some even above Z_2 .)

Csima, Hirschfeldt, Knight, and Soare. No $\text{low}_2 \Delta_2^0$ set can compute an atomic model for every complete atomic decidable theory.

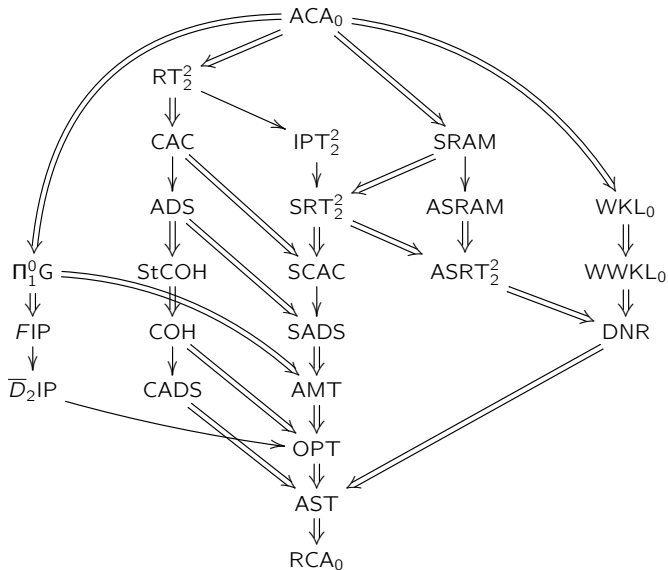
Corollary. There exists an ω -model of $\text{RCA}_0 + \text{FIP} + \neg\text{AMT}$.

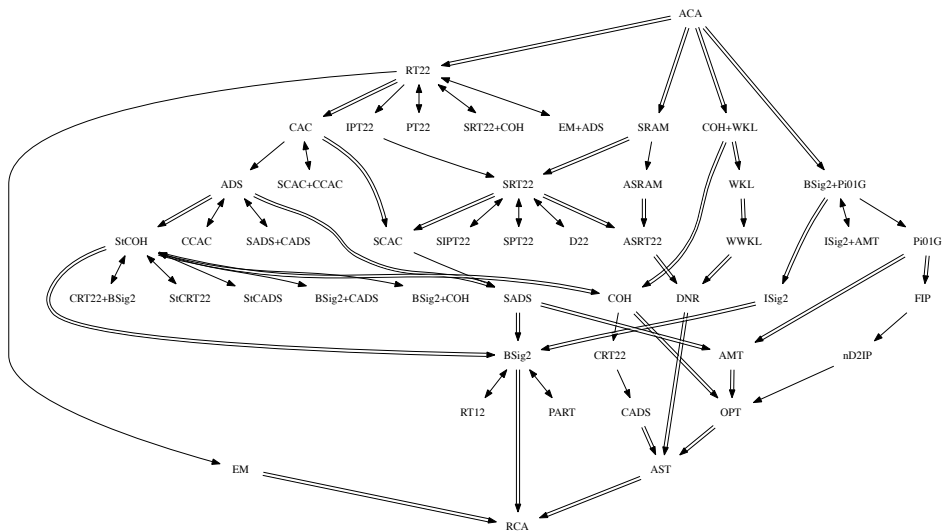
Question. Does OPT imply $\overline{D}_2\text{IP}$? Does AMT imply FIP ?

Equivalents of choice



Equivalents of choice





Thank you for your attention.